

Agenda – Senedd Commission Audit and Risk Assurance Committee

Meeting Venue: Conference Room 4B
and via Zoom

Meeting date: 27 April 2023

Meeting time: 14.00

For further information contact:

Clerk: Kathryn Hughes

Committee Clerk

0300 200 6565

SCARAC@senedd.wales

1 Introductions, apologies and declaration of interests

2 Minutes of 13 February, actions and matters arising

(Pages 1 – 14)

Attached Documents:

ARAC (23-02) Paper 1 – Minutes of 13 February 2023

ARAC (23-02) Paper 2 – summary of actions

3 G&A update report

(Pages 15 – 22)

Attached Documents:

ARAC (23-02) Paper 3 – G&A Update –April 2023

4 Internal Audit Charter and Internal Audit's compliance with Public Sector Internal Audit Standard (PSIAS)

(Pages 23 – 34)

Attached Documents:

ARAC (23-02) Paper 4 – Internal Audit Charter 2023 – cover paper

ARAC (23-02) Paper 4 – Annex A – Internal Audit Charter 2023

5 Internal Audit Annual Report and Opinion

(Pages 35 – 45)



Attached Documents:

ARAC (23-02) Paper 5 – IA Annual Report 2023

6 Annual Report on Fraud

(Pages 46 – 50)

Attached Documents:

ARAC (23-02) Paper 6 – Annual Report on Fraud 2023

7 Fraud and Whistleblowing policies

(Pages 51 – 78)

Attached Documents:

ARAC (23-02) Paper 7 – Whistleblowing and Fraud 2023 – updates

ARAC (23-02) Paper 7 – Annex A – Whistleblowing Policy

ARAC (23-02) Paper 7 – Annex B – Fraud Corruption and Bribery Policy –
2023

ARAC (23-02) Paper 7 – Annex C – Fraud Response Plan – 2023

8 Latest Internal Audit report

(Pages 79 – 96)

Attached Documents:

ARAC (23-02) Paper 8 – Lessons Learned – Covid 19

9 Audit Wales update

(Pages 97 – 114)

Attached Documents:

ARAC (23-02) Paper 9 – AW Detailed Audit Plan–2022–23

10 Joint working protocol

11 Commission's draft Annual Report and Governance Statement for 2022–23

(Pages 115 – 308)

Attached Documents:

ARAC (23-02) Paper 10 – Draft Annual Report and Accounts 2022-23 – cover paper

ARAC (23-02) Paper 10 – Annex A – draft Annual Report Narrative

ARAC (23-02) Paper 10 – Annex B – draft Accounts

ARAC (23-02) Paper 10 – Annex C – draft Governance Statement 2022-23

12 Budget update

13 Corporate update on: Senedd Reform Programme and Ways of Working Programme

14 Corporate Risk

(Pages 309 – 335)

Attached Documents:

ARAC (23-02) Paper 11 – Corporate Risk

ARAC (23-02) Paper 11 – Annex A – Summary Corporate Risk Register

ARAC (23-02) Paper 11 – Annex B – Corporate Risks Plotted

15 Critical examination of one identified risk – Members' regulatory framework: changes and comprehension

16 SIRO Annual Report

(Pages 336 – 341)

Attached Documents:

ARAC (23-02) Paper 12 – SIRO Report 2023

17 Departure Summary

(Page 342)

Attached Documents:

ARAC (23-02) Paper 13 – Departures Summary

18 Terms of Reference

(Pages 343 – 347)

Attached Documents:

ARAC (23-02) Paper 14 – updated ToR March 2023

19 Committee's Annual Report to the Commission and Accounting Officer

20 Forward work programme

(Pages 348 – 351)

Attached Documents:

ARAC (23-02) Paper 16 – Forward Work Programme

21 Any other business